

STATEMENT CLAIMING SMALL ENTITY STATUS

Docket No (Optional)

(37 CFR 1.9 (f) and 1.27 (c)) – SMALL BUSINESS CONCERNApplicant, Patentee or Identifier: Raymond Allan PICKUP & Andrew KING

Application or Patent No. _____

Filed or Issued: _____

Title : Tool for applying clips

I hereby state that I am

☐ the owner of the small business concern identified below:☒ an official of the small business concern empowered to act on behalf of the concern identified below:NAME OF SMALL BUSINESS CONCERN J & H ROSENHEIM LIMITEDADDRESS OF SMALL BUSINESS CONCERN Lancaster Fields, Gateway, Crewe, Cheshire
CW1 6FF, United Kingdom

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

☐ the specification filed herewith with title as listed above.☒ the application identified above.☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9 (d), or a non-profit organization under 37 CFR 1.9 (e).

Each person, concern or organization having rights in the invention is listed below

☒ no such person, concern or organization exists.☐ each such person, concern or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28 (b))

NAME OF PERSON SIGNING Raymond Allan PICKUPTITLE OF PERSON IF OTHER THAN OWNER Managing DirectorADDRESS OF PERSON SIGNING The Grange, Hall Drive, Willaston, Nantwich, Cheshire United Kingdom

SIGNATURE

DATE: 15th January 2004

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; that I believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are listed below) of the subject matter which is claimed and for which a patent is sought on the invention or design entitled "**Tool for Applying Clips**" the specification of which (check one):

 X is attached hereto; or

_____ was filed on _____ as

Application Serial No. _____

and was amended on _____ (if applicable);

that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above; and that I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Number</u>	<u>Country</u>	<u>Date Filed</u>	<u>Priority Claimed (Yes) (No)</u>
0117966.2	Great Britain	07/24/01	Yes

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application(s) in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. § 1.56 which

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075200.0101

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became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

<u>Application</u> <u>Serial Number</u>	<u>Date</u> <u>Filed</u>	<u>Status</u>
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NONE

I hereby appoint the following:

Scott F. Partridge	Reg. No. 28,142
Jerry W. Mills	Reg. No. 23,005
Mitchell D. Lukin	Reg. No. 30,772
Bruce W. Slayden II	Reg. No. 33,790
Paul Katz	Reg. No. 35,917
Paul Morico	Reg. No. 35,960
Ronald Chichester	Reg. No. 36,765
R. William Beard, Jr.	Reg. No. 39,903
Ann C. Livingston	Reg. No. 32,479
Thomas R. Felger	Reg. No. 28,842
Roger J. Fulghum	Reg. No. 39,678
Claude E. Cooke, Jr.	Reg. No. 34,142
Michael A. Hawes	Reg. No. 38,487
My T. Pham	Reg. No. 51,158

all of the firm of Baker Botts L.L.P., my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, and to file and prosecute any international patent applications filed thereon before any international authorities.

Send Correspondence To:

Baker Botts L.L.P.
One Shell Plaza
910 Louisiana
Houston, Texas 77002-4995

Direct Telephone Calls To:

Andreas H. Grubert
at (713) 229-1964
Atty. Docket No. 075200.0101

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States

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Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of first inventor

RAYMOND ALLAN PICKUP

Inventor's Signature



Date

15th JANUARY 2004

Residence (City, County, State)

THE GRANGE, HALL DRIVE
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CW5 6NA
GREAT BRITAIN

Citizenship

Great Britain

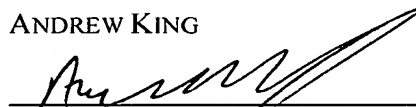
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Full name of second inventor

ANDREW KING

Inventor's Signature



Date

15-1-04

Residence (City, County, State)

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